



Uniting Church in Australia
ASSEMBLY
Assembly Standing Committee

11-13 November 2022

DOCUMENT 8

Title	Risk Management Report Report to Assembly Standing Committee
Type of Paper	For Noting For Discussion
Assembly or ASC Minute	Regulation 3.7.5.2
Consultation	AFARC Assembly General Secretary and Associate General Secretary National Director, Strategic Finance and Administration
Purpose	To provide the ASC with an update to the Risk Management System and Framework of the Assembly.
Rationale & Findings Summary	<p><u>Risk Management Framework</u> The Assembly's Risk Management system is comprised of several components as is set out in the Assembly Risk Management Policy).</p> <p>The Risk Framework is comprised of the following four main components.</p> <ul style="list-style-type: none">• <u>ASC Risk Governance Principles</u> – This document draws together the feedback from the ASC over several meetings which considered the question of “What is my/ASC’s role in Risk as a Governance Committee?” and overlay this on the regulatory requirements of such Committees.• <u>Risk Appetite Statement</u> – this statement aims to set out clearly the Assembly’s approach to taking on Risk and highlighting tolerances to risk. Refer Appendix A• <u>Risk – Dashboard and Heatmap Report</u> – High level reporting on Risk – including the Risk Register• <u>Risk Register</u> – This document looks to identify all the risks facing the Assembly in the work that it does and rates each risk (by going through a process of identifying both the inherent risk and the residual risk (after considering controls). Refer Appendix B <p><u>Feedback from July 2022 ASC</u> Feedback received from the March 2022 meeting of ASC was taken on including</p> <p>Risk Appetite Statement: We included context for the following which are noted in Red.</p> <ul style="list-style-type: none">• Importance of Self-determination within the framework of the Covenant• Importance of policies for engaging safely including in an online presence• Calling the increasing importance of data security and risk of privacy breach <p>Risk Register: Following feedback the following changes have been made.</p> <ul style="list-style-type: none">• MP1 – highlighting the importance of achieving a successful outcome for the work of Act 2

	<ul style="list-style-type: none"> • OP2 – As the impact of Covid reduces reflecting this point as a wider point as opposed to mainly focused on Covid • OP7&FP3 – reflect the increasing importance of Cyber Risk and Controls around this • ORG1&ORG2 – The importance of the relationships within the Assembly and its agencies itself <p><u>Risk Updates Generally – Nov 22</u> As part of the normal quarterly Risk reporting process, we look to consider any changes in the Risk Environment as well as consider emerging risk. The following changes have been reflected in the Risk Register.</p> <ul style="list-style-type: none"> • Safe1 – we have refocused this point to consider the potential for <u>future</u> incidents to arise (as distinct from past historic incidents) • FP3&FP4 – reflects the increasing level of Civil Claims being received over the last quarter (as distinct from Redress Claims), the widening of claims to include physical/psychological matters, as well as the potential for further wider claims in respect to the former Methodist Overseas Missions. <p><u>Workshop sessions</u> We would also like to continue the process of breaking into small groups to again allow a deeper dive into both the Risk Papers and consider the following: (20 minutes all up).</p> <p>Review both the updated Risk Appetite and updated Risk Register as attached to the papers and consider the following</p> <ul style="list-style-type: none"> • Are there any key risks not included? • Are risk ratings reasonable and appropriate? • Are there additional controls that should be put in place.
Attachments	<p>Risk Management Report comprised</p> <ol style="list-style-type: none"> 1. Risk Appetite Statement – Appendix A 2. Dashboard/Heatmap Reporting of Risks - Appendix B 3. November 2022 ASC Risk Register – Appendix B 4. ASC Risk Governance Principles – Appendix C
Proposals	<p>That the Assembly Standing Committee:</p> <ol style="list-style-type: none"> 1. Receive the report
Submitted by	<p>Leo Iosifidis, National Director – Strategic Finance and Admin, The Uniting Church in Australia – National Assembly</p> <p>leoi@nat.uca.org.au 0427 546 100 28 October 2022</p>

