



**Uniting Church in Australia**  
**ASSEMBLY**  
Assembly Standing Committee

11-13 March 2022

DOCUMENT 8

<b>Title</b>	<b>Risk Management Report Report to Assembly Standing Committee</b>
Type of Paper	For Noting For Discussion
Assembly or ASC Minute	Regulation 3.7.5.2
Consultation	AFARC Assembly General Secretary and Associate General Secretary National Director, Strategic Finance and Administration
Purpose	To provide the ASC with an update to the Risk Management System and Framework of the Assembly.
Rationale & Findings Summary	<p><u>Risk Management Framework</u> The Assembly's Risk Management system is comprised of several components as is set out in the Assembly Risk Management Policy).</p> <p>The Risk Framework is comprised of the following three main components.</p> <ul style="list-style-type: none"><li>• <u>Risk Appetite Statement</u> – this statement aims to set out clearly the Assembly's approach to taking on Risk and highlighting tolerances to risk. Refer Appendix A</li><li>• <u>Risk – Dashboard and Heatmap Report</u> – High level reporting on Risk – including the Risk Register</li><li>• <u>Risk Register</u> – This document looks to identify all the risks facing the Assembly in the work that it does and rates each risk (by going through a process of identifying both the inherent risk and the residual risk (after considering controls). Refer Appendix B</li></ul> <p>In addition this report includes a new document.</p> <ul style="list-style-type: none"><li>• <u>ASC Risk Governance Principles</u> – This document draws together the feedback from the Nov 2021 ASC session which considered the question of “What is my/ASC's role in Risk as a Governance Committee?” and overlay this on the regulatory requirements of such Committees.</li></ul> <p><u>Feedback from Nov 2021 ASC</u> Feedback received from the November 2021 meeting of ASC was taken on including</p> <p><u>What is my role/the Role of ASC in managing Risk?</u></p> <ul style="list-style-type: none"><li>• Refer to the development of ASC Risk Governance Principles as noted above</li></ul>

#### Review of the Risk Appetite Statement

- Under Mission and Purpose – the insertion of zero appetite for not living out the Covenant
- Under Ministerial Training – the insertion of zero appetite for Theological and ongoing training for ministers that does not meet standards
- Under Operational – further clarity - Zero appetite for unethical and illegal behaviour (with the exception of Civil disobedience with the parameters identified under Justice and Advocacy)

#### Review of the Risk Register

- Inserting 2 new risks in the Register
  - MP6 – Commitment to living out the Covenant
  - OP7 – IT security
- DW1 inclusion of wording “or of approved documents relating to Doctrine and Worship”
- OP5 including of the risk around the reconvened Assembly (whilst impact of Covid remains high)
- FP3 re-rated Residual Risk to High and clarified that it includes both Civil and Redress Claims noting an increased no of claims from Mogumber over the 6 months to Dec 2021 (compared with forecast) and the emergence of claims in regard to Croker Island
- Safe1 inserted definition of vulnerable person
- Just1 wording reduced to leave a broader definition of those the Church may advocate on behalf of

#### Changes – updating the Risk Register – from Nov 21 to Mar 22

In updating the Risk Register, in addition to the changes identified by ASC, from November 2021 to March 2021, we’ve noted the following items which reflect the change in the organisational and environmental landscape;

- Recognition of the increasing level of Redress Claims – both in regard to Mogumber and probable claims in regard to Croker Island

#### Workshop sessions

We would also like to continue the process of breaking into small groups to again allow a deeper dive into both the Risk Papers and consider the following: (20 minutes all up).

#### Item 1

Review the initial draft of the [ASC Risk Governance Principles](#) and consider if clearly sets out the key principles of how ASC discharges its Risk oversight responsibilities

	<p>Item 2</p> <p>Review both the updated Risk Appetite and updated Risk Register as attached to the papers and consider the following</p> <ul style="list-style-type: none"> <li>• Are there any key risks not included?</li> <li>• Are risk ratings reasonable and appropriate?</li> <li>• Are there additional controls that should be put in place.</li> </ul>
Attachments	<p>Risk Management Report comprised</p> <ol style="list-style-type: none"> <li>1. Risk Appetite Statement – Appendix A</li> <li>2. Dashboard/Heatmap Reporting of Risks - Appendix B</li> <li>3. March 2022 ASC Risk Register – Appendix B</li> <li>4. <a href="#">ASC Risk Governance Principles – Appendix C</a></li> </ol>
Proposals	<p>That the Assembly Standing Committee:</p> <ol style="list-style-type: none"> <li>1. Receive the report</li> </ol>
Submitted by	<p>Leo Iosifidis, National Director – Strategic Finance and Admin, The Uniting Church in Australia – National Assembly</p> <p><a href="mailto:leoi@nat.uca.org.au">leoi@nat.uca.org.au</a> 0427 546 100 20 February 2022</p>